

ISX Rules for Purchasing and Selling Securities

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- 1 . The investor is obliged to follow the laws , regulations , and instructions of the ISX .
- 2 . The investor is obliged to deliver the bought shares to the intermediary (broker) prior to the completion of selling the shares on the ISX .
- 3 . The investor is obliged to pay the broker the sums made by him/her to cover his/her obligations to purchase the shares , along with the commission fee that has been agreed upon by the ISX .
- 4 . The ISX licensed brokerage firm is the only officially approved brokerage to receive and execute orders of purchasing and selling securities on the ISX .
- 5 . Handling all disagreements concerning trades executed on the ISX is done according to the procedures regulated by the ISX laws .
- 6 . The investor is obliged to deliver all documents to the broker when the selling order is issued .
- 7 . The purchasing investor is obliged to follow the ISX Brokerage Firm' s Account Opening Agreement .
- 8 . The selling investor has to make commitment that the shares to be sold are not mortgaged , confiscated , or detained by force of law .
- 9 . The investor is obliged to follow the 19th Act of the ISX , which states : “ One single person has no right to give multiple purchasing or selling orders to more than one broker for the same stock during the same ISX session ” .